



DRW

PATENT  
Customer No. 22,852  
Attorney Docket No.: 04329.3116

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Masahisa SONODA et al. ) Group Art Unit: 2811  
Serial No.: 10/642,666 ) Examiner: Loke, Steven Ho Yin  
Filed: August 19, 2003 )  
For: NONVOLATILE SEMICONDUCTOR )  
MEMORY DEVICE HAVING )  
GROOVES ISOLATING THE )  
FLOATING ELECTRODES OF )  
MEMORY CELLS AND METHOD OF )  
MANUFACTURING THE )  
NONVOLATILE SEMICONDUCTOR )  
MEMORY DEVICE )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§1.56 and 1.97(c), and supplemental to the Information Disclosure Statement (IDS) filed on August 19, 2003, Applicants bring to the attention of the Examiner the documents listed on attached Form PTO/SB/08. This IDS is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance.

The documents listed in this IDS were first cited in a Notification of Reasons for Rejection mailed on May 10, 2005, from the Japanese Patent Office in a counterpart

foreign application. This IDS is being filed within three months of the mailing date of the Notification.

In lieu of a statement of relevance or translation of the non-English documents, the Notification of Reasons for Rejection from the Japanese Patent Office which cited these documents and set forth the relevance thereof and an English language translation of that Notification are enclosed.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

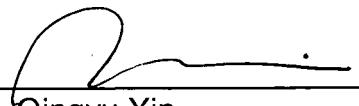
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: July 21, 2005

By: 

Qingyu Yin  
Ltd. Rec. No.: L0222

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

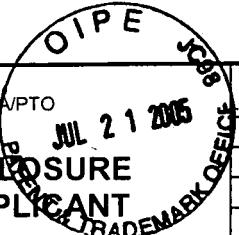
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of

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**Complete if Known**

Application Number	10/642,666
Filing Date	August 19, 2003
First Named Inventor	Masahisa Sonoda
Art Unit	2811
Examiner Name	Loke, Steven Ho Yin
Attorney Docket Number	04329.3116

<b>U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS</b>					
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-			

**Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.****FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP 5 304302	11/16/1993			
		JP 2002 270705	09/20/2002			

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
		Notification of Reasons for Rejection dated May 10, 2005, issued by the Japanese Patent Office in counterpart Japanese Application No. 2003-107991 and English language translation thereof	

Examiner Signature	Date Considered
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.